

UNITED STATES DEPARTMENT OF COMMERCE  
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Aspect Telecommunications ALIN

PATENT & TRADEMARK APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/320,252 05/26/99 MATZ

P 02950.P033

0232/0622

ANDRE L MARAIS  
BLAKELY SOKOLOFF TAYLOR & ZAFMAN  
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NOT ASSIGNED

2782

DATE MAILED:

06/22/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

*If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
small entity (statement filed)  non-small entity is \$ 130*

1. The statutory basic filing fee is:

missing  
 insufficient

*Applicant must submit \$ 130 to complete the basic filing fee and/or file a small entity statement  
claiming such status (37 CFR 1.27).*

2. The following additional claims fees are due:

\$ 130 for total claims over 20.

\$ 130 for independent claims over 3.

\$ 130 for multiple dependent claim surcharge.

*Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.*

3. The oath or declaration:

is missing or unsigned.  
 does not cover the newly submitted items.

*An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.*

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

*An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.*

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

*Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).*

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

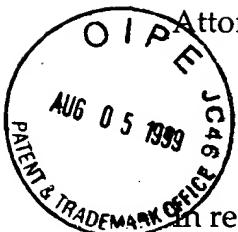
***A copy of this notice MUST be returned with the reply.***

E. Burns

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

130.00 (P)

01/05/05  
01/05/05



Attorney's Docket No.: 002950.P033

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Paul E. Matz et al.

Application No.: 09/320,252

Filed: May 26, 1999

For: METHOD AND APPARATUS FOR  
EXECUTING A TRANSACTION  
TASK WITHIN A TRANSACTION  
PROCESSING SYSTEM EMPLOYING  
SYMMETRIC MULTIPROCESSORS

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

RECEIVED  
AUG 13 1999  
TC 2100 MAIL ROOM

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed June 22, 1999, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e); and
- (3) a copy of the Notice to File Missing Parts of Application.

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08 FC:105 10

and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X 37 C.F.R. §1.97(b).

       37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

       A statement pursuant to 37 C.F.R. §1.97(e) or

       A check for \$240.00 for the fee under 37 C.F.R. § 1.17(p).

       37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

(1) A statement pursuant to 37 C.F.R. §1.97(e);

(2) A petition requesting consideration of the Information Disclosure Statement; and

(3) A check for \$\_\_\_\_\_ for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP



Dated: 08/02/ 1999

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André L. Marais  
Under 37 CFR § 10.9(b)

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